

INFORMATION PACKAGE FOR SUBMITTING SITE PROPOSALS

for the Program for Rewarding Upland Poor in Asia for the Environmental Services They Provide (RUPES)

Section 1

General objectives of the call for proposals

The aim of this call for proposals is to identify projects, which might be eligible for financial support from the RUPES Program. This support would be in the form of a co-financed grant, technical assistance, and/or joint proposal writing for additional funding support from other sources. RUPES is planning to assist somewhere between 5 and 20 projects, allocating a total of \$200,000 for the first year overall.

Projects that may be eligible will be in line with one or more of the objectives of the RUPES program or help to promote objectives in specific fields of environmental services and reward mechanisms in Asia

Selection Criteria

Only requests submitted on the basis of the process contained in this information package will be considered. All the sections must be completed clearly and accurately. The proposal should not exceed ten (10) pages (excluding maps, diagrams, graphs and administrative information).

Criteria for Awarding Grants

The grants awarded in the RUPES Program will be awarded with a view to the successful completion of those activities deemed the most suitable on the basis of the following criteria:

- ? Sound understanding by the proponent of the proposal of the goals and objectives of the RUPES Program.
- ? Clear links and advantages between the proposed research and development activities and the goals and objectives of the RUPES Program.
- ? Clarity of the description of the methods to be employed for the realization of the proposed activities, the anticipated results and the timetable envisaged.
- ? Feasibility of the activities within three years
- ? Cost effectiveness

Selection Process

The evaluation of the proposals will be based on assessment of the criteria set out above, the information provided in SECTION 2 and the limit of the available budget. Proposals to be funded will be selected at the next meeting of the RUPES International Steering Committee (ISC). In the event of approval by the ISC, a contract between the World Agroforestry Centre and the proponent submitting the proposal will specify key dates, progress expectations and a payment of schedule.

Schedule

Proposals must be received no later than 25 April 2003. The proposal to be funded will be selected at the next ISC meeting (tentatively scheduled for last week in May 2003).

Questions

Any questions regarding this information package or on the RUPES Program should be directed to either:

Fiona Chandler or
RUPES Program Manager
World Agroforestry Centre
PO Box 161, Bogor 16001
INDONESIA
Tel: +61 251 625415
Fax: +61 251 625416
Email: f.chandler@cgiar.org

Beria Leimona
RUPES Project Officer
World Agroforestry Centre
PO Box 161, Bogor 16001
INDONESIA
Tel: +61 251 625415
Fax: +61 251 625416
Email: l.beria@cgiar.org

Submission of Proposal

Please e-mail an electronic copy of the proposal to:
Fiona Chandler: f.chandler@cgiar.org

Proposals must be received no later than 25 April 2003.

Conditions

The ISC reserves the right to not award a financial grant for this site proposal. Reasons for not awarding a grant could include, but are not limited to, a lack of an acceptable proposal or a finding that insufficient funds are available to proceed.

The ISC intends to publish the results of this action research. The research will be considered part of the RUPES program and appropriate credit will be given to the researcher(s) involved.

Section 2

Proposal

To facilitate the evaluation of proposals, the following information should be submitted **Please restrict this section to a maximum of ten (10) pages (excluding maps, graphs and/or diagrams).**

Information on the site:

1. Project Title and Site Location: Please provide the title of the project and the location of the site. Including a map would be helpful.
2. Site Characteristics: Provide a brief description of the characteristics of the site especially as it relates to RUPES. Information that should be included could be physical characteristics (example: altitude), existing landuse (example: agriculture, forestry), past and current land management practices, history of social conflict and characteristics of the local population (example: ethnicity, poverty levels, gender balance, population density).
3. Current research and development activities on the site: Who is currently undertaking research and development activities on the site (including partners).
4. Previous development initiatives: Describe any previous development initiatives in environmental rehabilitation, education, health, poverty alleviation, agriculture, forestry, economic development and an assessment of their levels of success.
5. Previous and ongoing policy reforms: Describe any policy reforms at the site level or higher levels that have implications (positive or negative) towards the development of environmental transfer mechanisms. This may include tenure rights, public investment policy, international agreements, trust funds, resource extraction rules, taxes, etc.
6. Current Fundraising Activities: List any resource mobilization efforts to undertake activities at the site already underway.
7. Advantages of Including the Proposed Site in the RUPES Program

Information on the Research Activities Proposed:

Before environmental transfer payment or reward mechanisms are put in place to reach the RUPES target groups, serious investigation across a range of environmental services, and across a wide range of ecological, social, political and economic conditions in the Asian context will be required. The overall strategy of the research program in RUPES is to build working models of best practices for successful environmental services agreements adapted to the Asian context. The plan is to conduct focused, action research at specific sites to cover a range of research activities that relate to the key activities of the RUPES program. The key outputs of the RUPES Program are as follows:

1. Identification of environmental services (ES) functions across a range of settings, and assessment of where and how the benefits of these services currently are distributed.
2. Develop and test an array of mechanisms at sites, across a range of settings, that will reward the poor for the ES they provide

3. A transparent enabling institutional environment at local, sub-national, and national levels has been supported, so as to deliver rewards that are effective, equitable, and sensitive to marginalized groups (including ethnic groups and women).
4. Awareness of the potential for rewards to enhance environmental services has been raised among government officials, and the producers and consumers of these services.
5. Effective partnerships among consortium member institutions and regional, national and local organizations have been developed and managed.
6. An appropriate sustainable institutional approach to foster future transfer payments to the poor has been identified and institutionalized

In your proposal, please describe your proposed approach or strategy for the next three years and what has already been achieved at the site. Please describe the activities you propose to undertake in year one that relate to the RUPES program components and activities. Identify who is likely to be responsible for carrying out the research and development activities and provide an estimate of the current funding gaps.

RUPES Program Activities at Site Level	Proposed Approach (3-year)	Accomplished to Date	Proposed Activities (Year 1)	Responsibility	Funding Gaps
<p>1) Identification of environmental services (ES) functions across a range of settings, and assessment of where and how the benefits of these services currently are distributed.</p> <p>a. Identify and quantify the characteristics of environmental services, the degree to which they be achieved by alternative solutions and the degree to which they can be serviced simultaneously by specific landuse practices.</p> <p>b. Identify the needs of the upland communities providing the services, and elucidate the constraints to the maintenance or enhancement of these environmental service functions</p> <p>c. Identify the beneficiaries of the environmental service functions who have a stake in these services.</p> <p>d. Quantify the benefits currently received by the various stakeholders</p>					

RUPES Program Activities at Site Level	Proposed Approach (3-year)	Accomplished to Date	Proposed Activities (Year 1)	Responsibility	Funding Gaps
<p>2) Develop and test an array of mechanisms at sites, across a range of settings, that will reward the poor for the ES they provide</p> <p>a. Review the existing practices for benefit-sharing and identification of new opportunities in a range of settings.</p> <p>b. Identify and engage key partners to achieve the outputs.</p> <p>c. Test new environmental service transfer payments methods in an action research mode in the pilot areas.</p>					
<p>3) A transparent enabling institutional environment at local, sub-national, and national levels has been supported, so as to deliver rewards that are effective, equitable, and sensitive to marginalized groups (including ethnic groups and women).</p> <p>a) Identify the conditions necessary to enable environmental transfer</p>					

RUPES Program Activities at Site Level	Proposed Approach (3-year)	Accomplished to Date	Proposed Activities (Year 1)	Responsibility	Funding Gaps
<p>payments. These include political, legal, financial and social conditions.</p> <p>b) Identify the existing supportive institutional environments and frameworks.</p> <p>c) Build the capacity of stakeholders to support and engage in environmental transfer payments activities (including negotiation skills and processes)</p> <p>d) Assist collaborators to put in place a conducive policy framework for environmental service payments to poor communities undertaken.</p>					
<p>4) Awareness of the potential for rewards to enhance environmental services has been raised among government officials, and the producers and consumers of these services.</p> <p>a) Tools have been developed and deployed to educate and increase the awareness of various audiences about the issues and opportunities surrounding environmental service payments systems</p>					

RUPES Program Activities at Site Level	Proposed Approach (3-year)	Accomplished to Date	Proposed Activities (Year 1)	Responsibility	Funding Gaps
for the upland poor, through such media as radio, video, print, and the internet.					
<p>5) Effective partnerships among consortium member institutions and regional, national and local organisations have been developed and managed.</p> <p>a) The specific needs for partnerships to accomplish the various outputs will be determined.</p> <p>b) Specific partnerships to achieve each of the outputs are identified and developed.</p> <p>c) The partnerships are managed and monitored effectively.</p> <p>6) An appropriate sustainable institutional approach to foster future transfer payments to the poor has been identified and institutionalized</p> <p>a) An analysis of the strengths, weaknesses, opportunities, and threats of existing institutional mechanisms related to environmental services is completed.</p>					

RUPES Program Activities at Site Level	Proposed Approach (3-year)	Accomplished to Date	Proposed Activities (Year 1)	Responsibility	Funding Gaps
<ul style="list-style-type: none"> b) A business plan for a sustainable institutional approach to transfer payments completed, including mobilisation of appropriate resources. c) A group of champions identified to oversee evolution of institutional options. 					

Section 3

Administrative Information:

Provide names and affiliations of all individuals who will contribute to the research. Please attach a one -page resume for each person indicating how each researcher's background, education and experience bear on the researcher's qualifications to undertake the research. If more than one researcher is involved, a single individual should be designated as the lead researcher and primary contact. The person submitting the proposal must be authorized to speak on behalf of all the researchers as well as for the firm or institution on whose behalf the proposal is submitted.

Proponent:

Contact Person:
Organizations Name:
Organizations Address (mailing, e-mail, fax and telephone):
Abbreviated Name and/or Acronym (if applicable):
Legal status of the applicant (association, university, government etc):

Partner(s). Please add more partners as appropriate.

PARTNER A:
Organizations Name:
Organizations Address (mailing, e-mail, fax and telephone):
Abbreviated Name and/or Acronym (if applicable):
Legal status of the applicant (association, university, government etc):
PARTNER B:
Organizations Name:
Organizations Address (mailing, e-mail, fax and telephone):
Abbreviated Name and/or Acronym (if applicable):
Legal status of the applicant (association, university, government etc):